Curriculum Vitae Tommy D. Overton, Jr.

Professional Experience

The Overton Law Firm, P.C., Private Practice, Houston Texas (1/1/05 – Present) Probate, Construction, Real Estate, Intellectual Property, Arbitration, and Mediation.

I am a licensed patent attorney authorized to practice before the United States Patent and Trademark Office and in Texas and Louisiana. My practice has been substantially devoted to litigation since 2005. I have litigated accident cases, complex construction disputes, probate matters and claims in decedent's estates, and real property cases involving multiple appeals that have reached The Supreme Court of the United States. I have also prosecuted patent applications for mechanical devices and oil well equipment.

Currently, I am the sole provider of probate litigation services for a large personal injury firm, and I focus on probate matters, litigation of claims related to last illness and personal injuries, and alternative dispute resolution.

Arbitration: I have been a registered arbitrator with the Texas Comptroller of Public Accounts for more than 8 years, with appointments in 115 cases. My experience includes setting procedures and conducting hearings on appeals in limited binding arbitrations related to compliance with statutory procedures and conducting regular binding arbitrations related to the value of commercial and residential property.

Since 2021, I have been a public arbitrator as a member of a three-person panel with the Financial Industry Regulatory Authority ("FINRA") that is responsible for making rulings and awards in disputes between investors and brokerages under FINRA rules and regulations.

Mediation: I am a certified mediator in Texas. I have been the sole mediator in approximately 15 disputes with private parties through local court and Houston Bar Association referrals.

Admissions:

Supreme Court of the United States State of Louisiana

State of Texas

United States Patent and Trademark Office

U.S. District Courts for the Southern District of Texas and Eastern District of Louisiana

Tommy D. Overton, Jr., P.E.

Private Practice, Houston Texas (1/1/05 - Present) Onshore and Offshore pipeline consulting and expert witness services.

Cause No. 2015-36948 Edgen Murray Corporation v. Northwest Pipe Company, in the 281st Judicial District Court of Harris County, Texas and Southern Star Central Gas Pipeline, Inc. v. Edgen Murray Corporation; completed analysis and consulting related to testimony on pipe

manufacturing, specifications, testing, failures, and settling indemnitee's potential liability and that a settlement was reasonable, prudent, and made in good faith under the circumstances.

Harry Albert Cicero Sr., et al., v. Acadian Gas Pipeline System, in private arbitration before a panel, provided a report and testimony with regard to pipeline and oil and gas industry terms and meaning, contract terms, safety, design, construction, and blowdown valve operations.

Kevin and Patricia May v. Thomas H. Miller, et al., 14th Judicial District Court, Parish of Calcasieu, No. 2001-842, prepared reports and testified concerning the safety of a highway crossing a 12-inch ethylene pipeline.

H. T. Bourgeois v. Louisiana State Gas Corporation, 40th Judicial District Court, Parish of St. John the Baptist, No. 24715, testified with regard to the safety, design, maintenance, construction, and operations of a natural gas pipeline in St. John the Baptist Parish.

Ruth M. LaFoy, et al. v. McAbee Construction, Inc., et al., in the Circuit Court of Tuscaloosa County, Alabama, No. 63-Cv-2008-000221.00, testified with regard to contract terms, safety, design, construction, pressure testing with nitrogen, failure and forensics analysis, medical records, and cause of death.

Shell Pipeline Company LP, Houston, Texas Commercial and Legal Advisor – Special Assignment (5/03 – 12/31/04) Advisor and business liaison with corporate legal. Filed comments on proposed regulations, drafted, negotiated, and tie-in agreements, technology and patent licenses, dedication and transportation agreements, joint tariff agreements, and leases for deepwater projects. Drafted motions, discovery, and objections and responses. Developed regulatory compliance plans for pipelines and terminals in the United States.

Equilon Pipeline Company LLC, Houston, Texas Sr. Business Associate – Business Services (11/99 – 5/03) Responsibilities included budgets, resolutions, and proposals for major projects to the Board of Directors. Shareholder representative reviewing and approving major project proposals. Corporate Secretary for offshore joint venture stock companies, limited liability companies, partnerships, and undivided interests. Prepared meeting materials, drafted minutes, certificates, and other instruments.

Shell Capital Incorporated, Houston, Texas Business Associate – Structured Finance (6/98 – 11/99) Identified investment banking opportunities and developed financial products for both the gas and power and exploration and production business lines. Prepared presentations, structures, and models. Worked in deal teams to review project financing, develop deal pricing, analyze structures, and arrange credit facilities.

Shell Oil Products Company, Houston, Texas Project Engineer – Offshore Marine Group (6/96 - 6/98) Designed and constructed offshore oil and gas pipelines and facilities totaling \$45MM. Managed a 12 person staff with an impeccable record for completing projects on schedule and under budget. Assisted affiliates with construction strategy, budget controls, and scheduling.

Transportation Engineer – Operations and Project Support (7/94 – 6/96) Provided technical support to the offshore business development and operations and design and management of projects. Negotiated agreements and developed multi-year integrity management plans.

Shell Pipe Line Corporation, Houston, Texas – Environmental and Technical (2/93 – 7/94) Technical focal point providing support for pipelines and terminals across a seven-state region.

Shell Pipe Line Corporation, Indianapolis, Indiana – Technical Support to Operations (12/89 -2/93) Mechanical, hydraulic, electrical, and operations and project support on crude oil, refined products, and natural gas liquids pipelines in a seven-state region.

Barnard & Burk Engineers & Constructors, Inc. – Civil Department (5/89-12/89) Designed structural steel and piping for industrial manufacturing complexes.

Trade Construction Company, Inc. Pipe Fitter (5/84-8/86) Fabricated, installed, tested, and prepared industrial and pipeline projects for commissioning.

Education

University of Houston Law Center, Doctor of Jurisprudence, December 2002

- Journal: Managing Editor, HOUSTON BUSINESS AND TAX LAW JOURNAL
- Awards: Chamberlain Hrdlicka Distinguished Paper in Taxation
- **Publications:** The Treatment of Cancellation of Indebtedness Income of Insolvent S Corporations, Houston Business and Tax Law Journal Vol. II; Learning to Like Like-Kind Exchanges, Abode Magazine April 2002
- Notable: full-time employment, advanced in Moot Court, Negotiation, and Mediation Competitions

Louisiana State University, Bachelor of Science, Civil Engineering, 1989 Rank (College of Engineering): 13 of 110; Rank (Major): 1 of 8

• Honors & Inductions: Dean's list; Tau Beta Pi; Chi Epsilon